

Scan Specifications for the 2011 Ohio IT 3

Important Note

The following document (**2011 IT 3**) contains grids for placement of information on this specific tax form. To accurately print, do not reduce the size, rotate or center this document. Doing so will jeopardize the integrity of the grid. When printing from Adobe Reader, please select "None" for "Page Scaling," which is under "Page Handling."

Ohio Department of Taxation
4485 Northland Ridge Blvd.
Columbus, OH 43229
tax.ohio.gov

Ohio Department of Taxation Scannable Tax Forms

1. Introduction:

The Ohio Department of Taxation (ODT) prescribes the format of Ohio tax returns and forms. The department's primary objective is to ensure that the tax forms are compatible with the department's automated remittance processing systems and can be processed in an efficient, accurate and economical manner.

These guidelines are for computerized tax processors, software developers, computer programmers, commercial printers, and others who develop and use substitute and reproduced tax forms.

2. Definitions:

2.01 Substitute Tax Forms –

A form other than the official ODT form that is computer-produced, computer-programmed or commercially typeset and printed. ODT must be able to process substitute tax forms in the same manner as the official forms. Substitute tax forms that are electronically produced must duplicate the appearance and layout of the official form including size of margins, special keying symbols and line numbers.

2.02 Facsimile (Text Mode) Forms –

For filing purposes, ODT does not accept dot matrix facsimile signature returns and schedules. They do not contain the data-entry symbols and other requirements necessary for processing. Companies must clearly print in the top margin of electronically processed text mode forms: **“DO NOT FILE THIS FORM.”**

2.03 Scannable Tax Forms –

The computer-prepared scannable forms are similar to the official ODT tax forms with the following exceptions: 1) the taxpayer-entity information layout and 2) a scanline that contains the taxpayers' tax data.

2.04 Reproduced Tax Forms –

Reproduced tax forms are photocopies of the official ODT forms. ODT will accept reproductions of official forms if the reproductions are:

- 1) Facsimiles of the official form produced by photo-offset, photoengraving, photocopying or other similar reproduction processes;
- 2) Printed in black ink on white paper of substantially the same weight, texture and quality as the official forms;
- 3) Legible in both the original text of the form and the filled-in data, and
- 4) The same dimensions as the official form, including the paper and the image produced on it.

ODT will accept one-sided reproduced forms even if the official form is two-sided. However, ODT prefers two-sided reproduced forms that result in the same page arrangements as the official form. If you cannot reproduce and print two-sided for the IT 941 and SD 101, please instruct the end-user of your software to retain the second page for their records. They should only mail in the front side. You may not file reproduced tax forms that do not meet the preceding guidelines. Reproduced tax forms that deviate from the official forms are considered substitute tax forms.

2.05 ID Field –

The area where the name, address, account number/social security number are printed.

2.06 ICR-Readable Fields –

All fields that will be read using Intelligent Character Recognition (ICR) technology.

2.07 Line Item Text –

The text, including item numbers, specifying the information to be entered into a data field.

2.08 OCR-Readable Field –

The scanline field that will be read using Optical Character Recognition (OCR) technology.

2.09 Record Layout –

A 6-line-per-inch vertical (rows) and 10-characters-per-inch horizontal (column) spacing grid, specifying the exact placement of all fields and characters on the facsimile form is provided with each form specification to assist in proper spacing and alignment.

2.11 Data Field –

The specific space on the form where a numeric figure is entered.

3. Specifications:

3.01 Field Length –

Each form must contain the exact number of ID fields, line item texts and data fields, as the department-issued form.

3.02 Signature –

The signature, title and date area must be formatted in the same manner as the department-issued form.

3.03 Name and Address –

Name and address must be placed in the row and column specified in the grid format provided with each form.

3.04 Account/Social Security Number –

The account or social security numbers must be printed with spaces in the exact locations specified in the record layout. Masking of security numbers is required where noted on specific forms.

3.05 Scanline Font –

The OCR scanline must be printed using a fixed 10-pitch, OCR-A (12-point size) font. The use of Courier or OCR-B font is not permitted.

3.06 Scanline Position –

ODT remittance scanline reads from right to left. The bottom of the characters in the scanline must be $\frac{1}{2}$ of an inch (with the exception of forms SD 101 and CAT 12, which is $\frac{1}{3}$ of an inch) from the bottom edge of the form and $1\frac{1}{2}$ inches from the right edge. See grid layout and Scanline Specifications Format for exact location of scanline.

3.07 ICR –

Dollar signs (\$) are not permissible in ICR-readable fields. Commas and periods are not allowed as separators between the digits in ICR-readable fields. ICR fields are defined in the record layout of each form.

3.08 Total Remittance Field –

This is the remittance line on the form that shows the tax due amount and payment submitted with the form. This field is read by the Courtesy Amount Reader (CAR) on our remittance-processing equipment and requires a dollar sign (\$) followed by a space preceding the remitted amount. The total remittance field must also include a decimal point to separate the dollar and cents digits. (Example: \$ 12345.00)

3.09 OCR/ICR Fields –

Underlining or enclosing OCR/ICR readable data fields is not acceptable nor are vertical bars to be used to separate dollar and cents fields.

3.11 Finished Form Size –

Form size is as specified in the grid layout for each form. Extraneous borders are not permitted. Edges **MUST** be trimmed to meet specifications. **DO NOT HAND-CUT BOTTOM OR RIGHT SIDE OF FORM.**

3.11 Paper Requirement –

The paper must be white, high-quality bond paper with a minimum weight between 20 and 24 pounds.

3.12 Back of Form –

Forms must be printed on one side only, unless the form is a two sided form. If two-sided, see section 2.04.

3.13 Inks –

Forms must be printed using black ink, non-MICR (non-ferrous) ink or toner.

3.14 Shading –

The use of shading or solid black areas for sidebars, headings or other areas is not permitted unless specified on tax return samples.

3.15 Reference Marks –

On all scannable returns and vouchers there are target marks on the form. Exact locations of the target marks are listed on the grid layout for each form. Target marks must be a solid black box and should be .2”W x .167”H.

3.16 Software Scanline Developer Identification –

The software developer identification is a three-letter vendor registration number (VRN) that will be assigned to each developer who prints a scanline on a tax form. The identification will be assigned to you by the Ohio Department of Taxation. The three-digit VRN refers to the developer *who designs the software to perform the tax calculations* **and** *to the developer who designs the form templates*. The VRN must be printed on each document in the exact area specified on the form grid. The use of a standard font size is acceptable.

4. Testing:

All documents must be tested on ODT equipment before production runs. The ODT requests **20 test samples** (cut to exact size) with the appropriate scanline and all data fields filled. Test documents must be submitted for approval to:

**Ohio Department of Taxation
Forms Printing Division
4485 Northland Ridge Blvd.
Columbus, OH 43229**

Note: When submitting your forms for approval, please attach form **STF – Approval Request for Scannable Tax Forms** with your order. This will allow us to communicate any required changes to a contact person within your organization.

5. Approval Process:

After you have submitted approval form STF, please allow two weeks for the Forms Printing Division to review and approve your order. You will receive written confirmation when your submittal has been approved.

6. Check Digit Routine (Modulus 10) For Scanline

- 1) Multiply each digit of the number by 1 or 2, starting from the left and going to the right. You will start with a 1 then 2, and continue this pattern to the end of that number.
- 2) Add all the digits together. Do not add the sum of the totals. For example, if your numbers are 1, 3, 4 and 19 your answer will be: $1 + 3 + 4 + 1 + 9 = 18$.
- 3) Divide the total from the digits by 10.
- 4) Subtract the remainder from 10. The answer is your check digit. **Note:** If your remainder is zero, your check digit will always be zero.

Note: This same procedure is followed for all check digit calculations throughout these specifications.

Example:

Check digit calculation for social security number and school district number:

Step 1 – Multiply each digit in the number by weights 121212.

1 2 3 4 5 6 7 8 9 (social security number)	2 5 0 9 (school district number)
X <u>1 2 1 2 1 2 1 2 1</u>	X <u>1 2 1 2</u>
1 4 3 8 5 12 7 16 9	2 10 0 18

Step 2 – The digits of the individual products are summed.

$$1 + 4 + 3 + 8 + 5 + 1 + 2 + 7 + 1 + 6 + 9 = 47 \qquad 2 + 1 + 0 + 0 + 1 + 8 = 12$$

Step 3 – Divide the sum by the modulus (10):

$\begin{array}{r} 4 \text{ (quotient)} \\ \text{(Modulus) } 10 \overline{)47} \\ \underline{40} \\ 7 \text{ (remainder)} \end{array}$	$\begin{array}{r} 1 \text{ (quotient)} \\ \text{(Modulus) } 10 \overline{)12} \\ \underline{10} \\ 2 \text{ (remainder)} \end{array}$
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Step 4 – To compute the check digit:

Modulus – Remainder = Check Digit

$$10 - 7 = 3 \text{ (This is your check digit.)}$$

Modulus – Remainder = Check Digit

$$10 - 2 = 8 \text{ (This is your check digit.)}$$

Step 5 – Append a space and the check digit to the right of the number: The complete form for the social security number is 123456789 3 and for the school district number is 2509 8.

7. Check Digit for Scanline Payment Period

Form	Period	Check Digit	Form	Period	Check Digit
IT 1040ES	0111–April 18, 2011	5		0311–Sept. 15, 2011	1
	0211–June 15, 2011	3		0411–Jan. 17, 2012	9
SD 100ES	0111–April 18, 2011	5		0311–Sept. 15, 2011	1
	0211–June 15, 2011	3		0411–Jan. 17, 2012	9
IT 501 (Quarterly)	130011–Jan-Mar 2011	0		150011–Jul-Sep 2011	5
	140011–Apr-Jun 2011	8		160011–Oct-Dec 2011	3
IT 501 (Monthly)	010011–Jan 2011	5		070011–Jul 2011	2
	020011–Feb 2011	3		080011–Aug 2011	0
	030011–Mar 2011	1		090011–Sep 2011	8
	040011–Apr 2011	9		100011–Oct 2011	6
	050011–May 2011	6		110011–Nov 2011	4
	060011–Jun 2011	4		120011–Dec 2011	2
IT 1041ES IT 1140ES IT 4708ES	0111–1 st Quarter	5		0311–3 rd Quarter	1
	0211–2 nd Quarter	3		0411–4 th Quarter	9
IT 1041EXT IT 1140EXT IT 4708EXT	0510–Extension Payment	8			
IT 941	002011–Jan-Dec 2011	5	FT 1120 E/ER/EX	2011–Tax Year	NA
IT 40P	0510–April 18, 2011	8	SD 40P	0510–April 18, 2011	8
SD 101 (Monthly)	013111 - 01/31/11	0	SD 101 (Quarterly)	033111 - 03/31/11	6
	022811 - 02/28/11	4		063011 - 06/30/11	1
	033111 - 03/31/11	6		093011 - 09/30/11	5
	043011 - 04/30/11	6		123111 - 12/31/11	7
	053111 - 05/31/11	1			
	063011 - 06/30/11	1			
	073111 - 07/31/11	7	CAT 12	2010 - Tax Year	7
	083111 - 08/31/11	5			
	093011 - 09/30/11	5			
	103111 - 10/31/11	1			
	113011 - 11/30/11	1			
	123111 - 12/31/11	7			

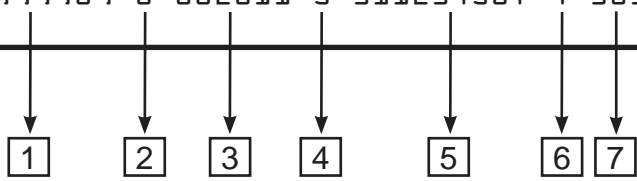
8. Scanline Specifications Format:

Form: 2011 IT 3

Size: 8.5" X 3.5"

Description	Number of Positions	Character Length
Ohio Withholding Account Number	1-9	8
Check Digit for Ohio Withholding Account Number	10-11	1
Tax Year	12-18	6
Check Digit for Tax Year	19-20	1
Federal Employer Identification Number	21-30	9
Check Digit for Federal Employer Identification Number	31-32	1
Form Type	33-35	3

Placement of the Scanline: Will start on line 63 at position 36 and end at position 70. Blank spaces must be as noted. Print zeros in fields that contain no data. The scanline font is OCR-A (12-point size), 10 pitch (pica spacing). **Example:** 51999989 0 002011 5 311234567 4 303



1. Ohio Withholding Account Number (8 digits and a space). The first two digits of a Ohio Withholding account should always be 51, 52 or 53.
2. Check Digit for Ohio Withholding Account Number (1 digit and a space)
3. Tax Year (6 digits and a space) (will always be 002011)
4. Check Digit for Tax Year (1 digit and a space)
5. Federal Employer Identification Number (9 digits and a space)
6. Check Digit for Federal Employer Identification Number (1 digit and a space)
7. Form Type: This will remain a constant “303” on all “IT 3” returns.

THE DEADLINE TO SUBMIT THIS FORM FOR APPROVAL IS JANUARY 13, 2012.

Note: The ICR-readable fields will be “Ohio Withholding Account Number”, “TIN”, “Due on or before”, “FEIN”, “Tax Year”, name and address, “Check here if magnetic tape is enclosed”, “Number of tax statements attached”, “Total Ohio employee compensation”, “Total Ohio income tax liability” and “Total Ohio school district tax liability”. Samples must represent various Ohio Withholding and Federal Employer account numbers. The number for the field “TIN” is the check digit for the Ohio Withholding account number plus a zero. The nine digit postal bar code for this form is 432181111.

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Note: The TIN will be the check digit for the Ohio Withholding Account Number plus a zero. For example, if the check digit for the Ohio Withholding Account is 0, then the TIN will be 00.

Transmittal of Wage and Tax Statements				OHIO
Ohio Withholding Acct. No.	TIN	Tax Year	Federal Employer ID No.	IT 3 Rev. 09/10
51 999989	00	2011	31 1234567	
Do NOT fold form. Any Corporation Inc. ABC Company 123 Any Street Columbus, OH 40000-0000		Vendor's Registration Number ABC	1. Number of tax statements attached (IT 2, Combined W-2 or 1099 R) 999999 2. Total Ohio employee compensation \$ 999999999.00 3. Total Ohio income tax liability 999999999 00 4. Total Ohio school district tax liability 999999999 00	
<input checked="" type="checkbox"/> Check here if magnetic tape is enclosed.		Due on or before: Feb. 29, 2012	DO NOT SEND PAYMENT WITH THIS FORM. Mail to: Ohio Department of Taxation, P.O. Box 182667, Columbus, Ohio 43218-2667.	
Signature of responsible party _____ Title _____		Social security number _____ Date _____		
51999989 0 002011 5 311234567 4 303				

Note: The TIN will be the check digit for the Ohio Withholding Account Number plus a zero. For example, if the check digit for the Ohio Withholding Account is 0, then the TIN will be 00.

Transmittal of Wage and Tax Statements				OHIO
Ohio Withholding Acct. No.	TIN	Tax Year	Federal Employer ID No.	IT 3 Rev. 09/10
51 999989	00	2011	31 1234567	
<p>Do NOT fold form.</p> <p>Any Corporation Inc. ABC Company 123 Any Street Columbus, OH 40000-0000</p> <p><input checked="" type="checkbox"/> Check here if magnetic tape is enclosed.</p> <p><small>I declare under penalties of perjury that this return, including any accompanying schedules and statements, has been examined by me and to the best of my knowledge and belief is a true, correct and complete return and report.</small></p> <p>Signature of responsible party _____ Social security number _____ Title _____ Date _____</p>	<p>Vendor's Registration Number ABC</p> <p>Due on or before: Feb. 29, 2012</p>	<p>1. Number of tax statements attached (IT 2, Combined W-2 or 1099 R) 999999</p> <p>2. Total Ohio employee compensation \$ 999999999.00</p> <p>3. Total Ohio income tax liability 999999999 00</p> <p>4. Total Ohio school district tax liability 999999999 00</p>		
	<p><small>DO NOT SEND PAYMENT WITH THIS FORM. Mail to: Ohio Department of Taxation, P.O. Box 182667, Columbus, Ohio 43218-2667.</small></p>			
	<p>51999989 0 002011 5 311234567 4 303</p>			

 
Ohio Withholding Acct. No.

TIN

Tax Year

Federal Employer ID No.

Transmittal of Wage and Tax Statements

OHIO
IT 3
Rev. 09/10

**Do
NOT
fold
form.**

Vendor's
Registration
Number

1. Number of tax
statements attached
(IT 2, Combined W-2 or 1099 R)

2. Total Ohio
employee
compensation

3. Total Ohio income
tax liability

4. Total Ohio school
district tax liability

Check here if **magnetic
tape** is enclosed.

Due on or before:

I declare under penalties of perjury that this return, including any accompanying schedules and statements, has been examined by me and to the best of my knowledge and belief is a true, correct and complete return and report.

Signature of responsible party

Social security number

Title

Date

DO NOT SEND PAYMENT WITH THIS FORM. Mail to: Ohio Department of Taxation, P.O. Box 182667, Columbus, Ohio 43218-2667.

